

Human Mu Opioid Receptor (*OPRM1* A118G) polymorphism is associated with brain mu-opioid receptor binding potential in smokers

Riju Ray^a, Kosha Ruparel^b, Andrew Newberg^c, E. Paul Wileyto^a, James W. Loughhead^b, Chaitanya Divgi^d, Julie A. Blendy^e, Jean Logan^f, Jon-Kar Zubieta^g, and Caryn Lerman^{a,1}

^aCenter for Interdisciplinary Research on Nicotine Addiction, Department of Psychiatry, University of Pennsylvania, Philadelphia, PA 19104; ^bBrain Behavior Laboratory, Department of Psychiatry, University of Pennsylvania, Philadelphia, PA 19104; ^cMyrna Brind Center of Integrative Medicine, Department of Emergency Medicine and Radiology, Thomas Jefferson University, Philadelphia, PA 19107; ^dDivision of Nuclear Medicine, Department of Radiology, Columbia University, New York, NY 10032; ^eCenter for Neurobiology and Behavior, Department of Pharmacology, University of Pennsylvania, Philadelphia, PA 19104; ^fMedical Department, Brookhaven National Laboratory, Upton, NY 11973; and ^gMolecular and Behavioral Neuroscience Institute, Department of Psychiatry, University of Michigan, Ann Arbor, MI 48109

Edited by Solomon H. Snyder, Johns Hopkins University School of Medicine, Baltimore, MD, and approved April 15, 2011 (received for review December 13, 2010)

Evidence points to the endogenous opioid system, and the mu-opioid receptor (MOR) in particular, in mediating the rewarding effects of drugs of abuse, including nicotine. A single nucleotide polymorphism (SNP) in the human MOR gene (*OPRM1* A118G) has been shown to alter receptor protein level in preclinical models and smoking behavior in humans. To clarify the underlying mechanisms for these associations, we conducted an in vivo investigation of the effects of *OPRM1* A118G genotype on MOR binding potential (BP_{ND} or receptor availability). Twenty-two smokers prescreened for genotype (12 A/A, 10 */G) completed two [¹¹C]carfentanil positron emission tomography (PET) imaging sessions following overnight abstinence and exposure to a nicotine-containing cigarette and a denicotinized cigarette. Independent of session, smokers homozygous for the wild-type *OPRM1* A allele exhibited significantly higher levels of MOR BP_{ND} than smokers carrying the G allele in bilateral amygdala, left thalamus, and left anterior cingulate cortex. Among G allele carriers, the extent of subjective reward difference (denicotinized versus nicotine cigarette) was associated significantly with MOR BP_{ND} difference in right amygdala, caudate, anterior cingulate cortex, and thalamus. Future translational investigations can elucidate the role of MORs in nicotine addiction, which may lead to development of novel therapeutics.

genetics | neuroimaging | tobacco

With 1 billion tobacco users worldwide, nicotine dependence has a major impact on global health. Advances in medication development for nicotine dependence require an improved understanding of the neurobiology of this complex, relapsing brain disorder (1). Although multiple neurobiological mechanisms have been implicated, a growing body of evidence points to the endogenous opioid system, and the mu-opioid receptor (MOR) in particular, in mediating the reinforcing effects of drugs of abuse, including nicotine (2–5). Nicotine up-regulates MOR mRNA and protein expression in brain regions important in drug reward in rodents (4, 6) and stimulates endogenous opioid release (7, 8), resulting in MOR activation and dopamine release (9).

Genetic variation in MORs can modulate the endogenous opioid system, thereby altering behavior. A common single nucleotide polymorphism (SNP) in the mu-opioid receptor gene (*OPRM1* A118G) results in an amino acid exchange at a putative glycosylation site in the extracellular terminus of the MOR (10). This *OPRM1* SNP has been associated with a variety of drug dependence phenotypes in rodent and human studies (11), including nicotine reward, nicotine withdrawal severity, and smoking relapse (12–14).

Despite substantial attention to the *OPRM1* A118G in drug addiction research, the precise function of this SNP has yet to be

clarified. Although the minor (G) allele was originally thought to be a “gain-of-function” variant, on the basis of increased affinity of MOR agonists (15), other data suggest that the G allele is associated with reduced mRNA and protein expression (16, 17). Further, knock-in mice homozygous for the equivalent (112G) allele exhibit reduced MOR mRNA and protein levels in multiple brain regions, providing additional support for a “loss-of-function” phenotype (18).

To translate these preclinical data to humans, we conducted an in vivo investigation of the effects of *OPRM1* A118G genotype on MOR binding potential (BP_{ND} or receptor availability). Twenty-two smokers prescreened for *OPRM1* A118G genotype (12 A/A, 10 */G) completed [¹¹C]carfentanil positron emission tomography (PET) imaging during two separate sessions, both occurring after overnight (14 h) abstinence (*i*) after smoking a nicotine-containing cigarette and (*ii*) after smoking a denicotinized (placebo) cigarette. Twenty nonsmoking controls (10 A/A, 10*/G) balanced for age and sex completed a single PET session. On the basis of the finding of reduced protein levels of MOR in knock-in mice that carry the G allele (18), we predicted that *OPRM1* G allele carriers would have reduced MOR BP_{ND} compared with those homozygous for the A allele. Furthermore, we hypothesized that the extent of displacement of the radioligand (i.e., changes in MOR BP_{ND} across the two sessions) would be reduced in smokers with the G allele (14). Regions with high MOR density (19–21), which are important in the mesolimbic reward circuits in addiction (22), were selected as regions of interest (ROIs): anterior cingulate cortex (ACC), amygdala (AMY), caudate (CAU), ventral striatum/nucleus accumbens (VST), and thalamus (THA).

Results

The BP_{ND} in the regions of interest was calculated using the multilinear graphical analysis method evaluated by the second version of the multilinear reference tissue model (MRTM2) (23). The occipital cortex (OCC) was identified as a region of interest for use as the reference region in MRTM2 modeling (24). All regression models for MOR BP_{ND} included predictors of interest (e.g., genotype, session) and controlled for age, sex, and smoking rate (for smokers only).

Author contributions: R.R., A.N., J.A.B., J.-K.Z., and C.L. designed research; R.R., A.N., and C.D. performed research; K.R., E.P.W., J.W.L., and J.L. analyzed data; and R.R., K.R., E.P.W., J.W.L., J.A.B., and C.L. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

¹To whom correspondence should be addressed. E-mail: clerman@mail.med.upenn.edu.

Smokers Carrying the *OPRM1* G Allele Exhibit Reduced MOR BP_{ND} Compared with Those Homozygous for the A (Wild-Type) Allele. Smokers in the **G* genotype group exhibited reduced MOR BP_{ND} in several of the ROIs examined. In the cross-session

models, MOR BP_{ND} was significantly lower in G allele carriers in bilateral AMY [left: $\beta = -0.32$ (-0.54 to -0.10), $P = 0.004$; right: $\beta = -0.25$ (-0.46 to -0.05), $P = 0.017$], left ACC [$\beta = -0.27$ (-0.48 to -0.06), $P = 0.01$], and left THA [$\beta = -0.23$

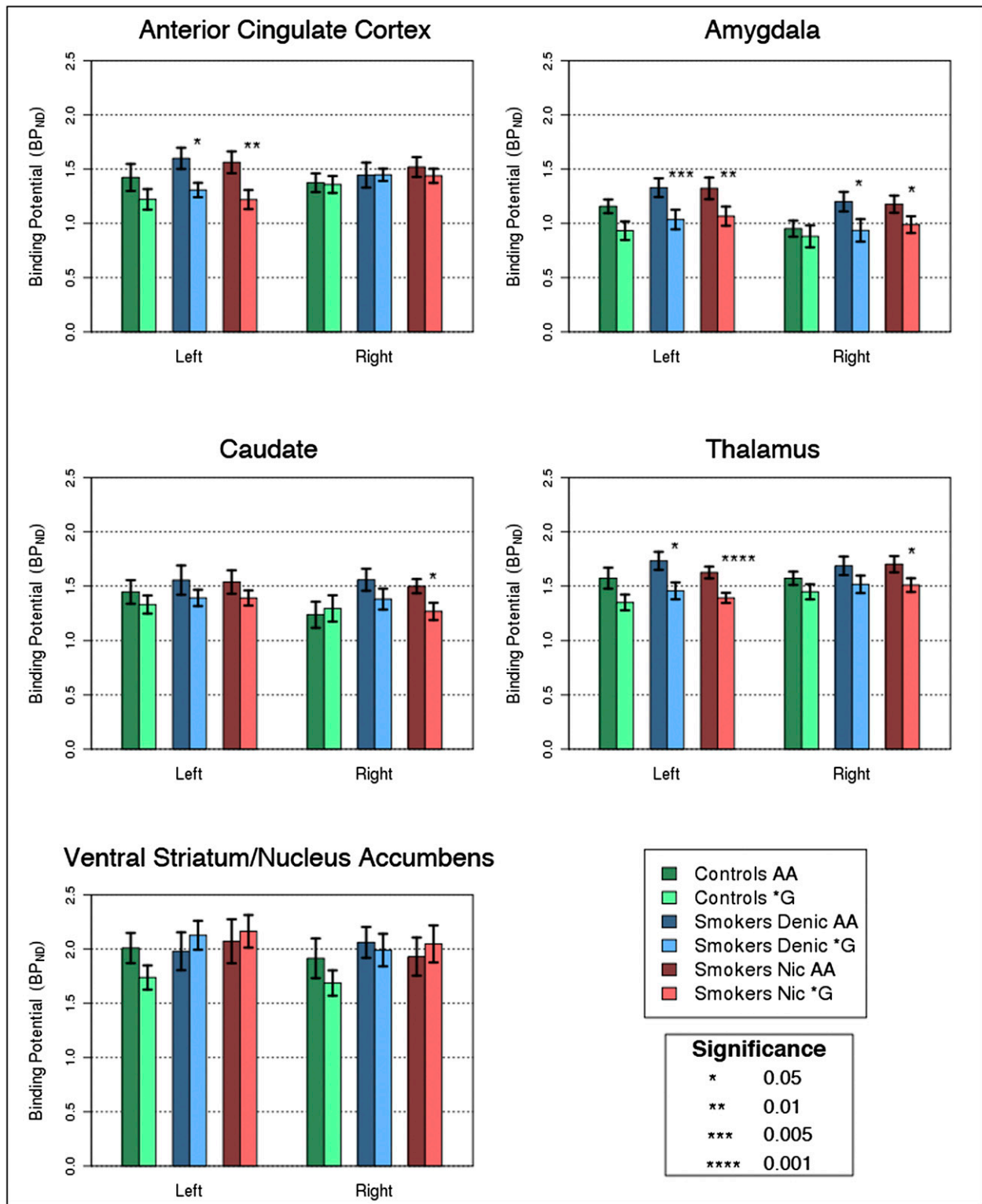


Fig. 1. Quantification of MOR BP_{ND} across the five ROIs and each hemisphere, comparing the *A/A* versus **G* *OPRM1* groups among nonsmokers, smokers during the denicotinized cigarette session, and smokers during the nicotine cigarette session. ACC, anterior cingulate cortex; AMY, amygdala; CAU, caudate; THA, thalamus; and VST, ventral striatum/nucleus accumbens.

(-0.37 to -0.10), $P = 0.001$]. Right THA did not survive correction ($P = 0.04$). Cross-session models for CAU and VST were not significant.

As shown in Fig. 1, in the nicotine cigarette session, G allele carriers exhibited reduced MOR BP_{ND} in the left AMY [$\beta = -0.31$ (-0.55 to -0.07), $P = 0.01$], left ACC [$\beta = -0.30$ (-0.53 to -0.06), $P = 0.01$], and left THA [$\beta = -0.23$ (-0.36 to -0.10), $P = 0.0004$]. Right AMY ($P = 0.03$), right CAU ($P = 0.02$), and right THA ($P = 0.02$) did not survive correction. In the denicotinized cigarette session, significant associations were identified in the left AMY ($\beta = -0.34$ (-0.57 to -0.10), $P = 0.005$) and left ACC ($\beta = -0.24$ (-0.44 to -0.04), $P = 0.018$). Right AMY ($P = 0.03$) and left THA ($P = 0.03$) did not survive correction. VST models were not significant in either session. The observed genotype associations did not differ by session (P values for genotype \times session effects > 0.50). In the nonsmoking controls, genotype associations were not significant (P values > 0.10).

Changes in MOR BP_{ND} Correlate with Changes in Smoking Reward in G Allele Carriers. With respect to subjective ratings, the nicotine cigarette was rated as significantly more rewarding than the denicotinized cigarette [3.63 (SD = 1.00) versus 2.34 (SD = 0.70), respectively; $T = -4.55$, $P = 0.0002$]; subjective reward did not differ by genotype. As shown in Fig. 2, among G allele carriers, the magnitude of change in MOR BP_{ND} from the denicotinized cigarette to the nicotine session was significantly correlated (Spearman's correlation) with change in the self-reported rewarding effects of these cigarettes in the right AMY ($r = 0.88$, $P = 0.001$), right CAU ($r = 0.78$, $P = 0.008$), right ACC ($r = 0.68$, $P = 0.03$), and right THA ($r = 0.65$, $P = 0.04$). There were correlations that approached significance in the left CAU ($r = 0.58$, $P = 0.08$), left THA ($r = 0.58$, $P = 0.08$), and left ACC ($r = 0.56$, $P = 0.09$). Significant correlations were not observed in the AA group (all P values > 0.10).

Comparisons of BP_{ND} in smokers (across sessions) versus nonsmokers revealed a difference only in bilateral AMY [$\beta = -0.17$ (-0.33 to -0.02), $P = 0.03$], but this effect did not survive correction. Session-specific comparisons of BP_{ND} in smokers versus controls were not significant (all P values > 0.10).

Discussion

This translational study provides unique evidence linking human genetic variation in the MOR to in vivo receptor binding availability. Consistent with preclinical data (18), smokers homozygous for the wild-type *OPRM1* A allele exhibited higher levels of MOR BP_{ND} in the bilateral amygdala, left thalamus, and left anterior cingulate cortex compared with those with the G allele. Among G allele carriers, the extent of subjective reward difference (denicotinized versus nicotine cigarette) was associated significantly with MOR BP_{ND} difference in right amygdala, caudate, anterior cingulate cortex, and thalamus. These regions have high MOR density (19–21) and are important in the mesolimbic reward circuits in addiction (22). Thus, the current findings may partly explain the reduced nicotine reward, withdrawal, and relapse risk associated with the A118G polymorphism (12–14, 25).

The observation of a relative reduction in MOR BP_{ND} in multiple regions among *OPRM1* G allele carriers is consistent with observations from a knock-in mouse model, in which mice possess the A112G polymorphism that corresponds to the same amino acid change in humans (18). At the molecular level, the 112G mice exhibit reduced mRNA expression and MOR protein, as well as decreased [³H]DAMGO binding in thalamus (18). Data from the mouse and the current human study are also consistent with evidence for *OPRM1* G allele-specific decreases in MOR mRNA and protein observed in postmortem human brain (17). The present study provides in vivo evidence for this association in human smokers.

Whereas the genotype associations in this study are consistent with preclinical data, the specific mechanisms underlying the association of the *OPRM1* G allele with reduced MOR BP_{ND} are not yet clear. The possibility of a constitutive effect of the *OPRM1* genotype received limited support in our study, on the basis of the lack of significant genotype associations with MOR BP_{ND} in the nonsmokers; however, the knock-in mouse data identify genotype differences in nicotine-naïve animals (18). An alternate hypothesis is that long-term chronic exposure to nicotine produces greater up-regulation in the A/A group or down-regulation in the */G group, a hypothesis that could be tested in the A112 knock-in mice. It is also plausible that the MOR BP_{ND} differences are secondary to variation in endogenous opioid tone

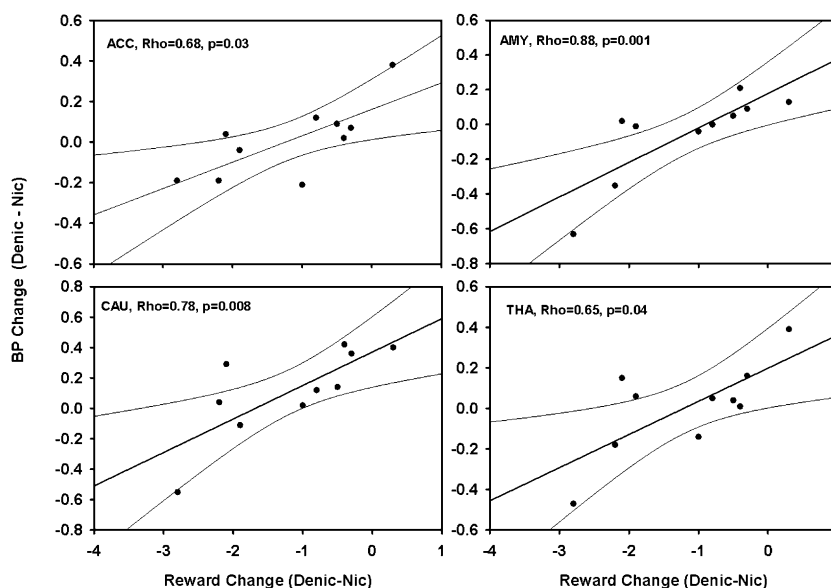


Fig. 2. Spearman's correlations of magnitude of change in MOR BP_{ND} from the denicotinized cigarette to the nicotine cigarette session with change in the self-reported rewarding effects of these cigarettes.

in the two genotype groups. A postmortem brain investigation of heroin addicts linked the G allele with disruptions in the endogenous opioid neuropeptide system (26). Also supporting this mechanism, G allele carriers exhibit elevated cortisol responses to MOR blockade (27).

Our finding that subjective nicotine reward in G allele carriers is associated with MOR availability in the amygdala, thalamus, anterior cingulate cortex, and caudate supports the endogenous opioid stimulation mechanism. MORs located in the thalamus (28) and amygdala (9) decrease excitatory glutamate inputs to the striatum, which influence striatal dopaminergic firing and subsequently nicotine reward. The caudate also has a high density of MORs, which are colocalized on the inhibitory GABAergic medium-spiny neurons that project from caudate-putamen to the striatum (29). The projection from the caudate-putamen also contributes to reward-related learning (30).

Whereas the explanation for observing MOR BP_{ND} associations with reward in G allele carriers but not in the A/A group is not entirely clear, it is plausible that this observation reflects genotype differences in sensitivity associated with molecular adaptations resulting from lower MOR availability in the */G group. Indeed, evidence cited above (26, 27) suggests that compensatory alterations in endogenous opioid tone are present in this group. Altered levels of G protein-coupled signaling molecules have also been observed in Neuro 2A cells transfected with the 118G *hOPRM1* (31). Additional clinical evidence suggests that carriers of the *OPRM1* 118G polymorphism exhibit increased sensitivity to pain (32), as well as increased sensitivity to psychosocial stress at both the subjective and neural levels (33). Interestingly, during the stress challenge, increased neural activity in the G allele carriers was observed in the anterior cingulate cortex (33), a region significant for MOR BP_{ND} and reward differences in the current study. Thus, as previously suggested (18), it may be an oversimplification to consider the A118G SNP to be either a gain or loss of function SNP, and genotype effects may vary depending on the specific pharmacological challenge.

It should be noted that the absence of differences in MOR BP_{ND} following denicotinized and nicotine cigarettes (versus controls) contrasts with the only study of MOR BP_{ND} in smokers, which was a small pilot feasibility study (34). The discrepant results are likely attributable to differences in the study populations and designs. For example, the prior study included 6 smokers (all male) compared with 22 smokers of both genders and matched *OPRM1* genotypes (oversampling the */G group) in the current study. Further, the current study was designed to eliminate carryover effects of smoking by scanning smokers on two separate occasions. It will be important in future studies to include sufficient numbers of males and females, as the behavioral effects of this polymorphism may be sex specific (14, 18, 35), and female sex and estradiol alter MOR binding availability (36, 37).

This study has several limitations. BP_{ND} values for nonsmokers in our study are lower than findings reported in some previously published studies (38, 39); however, these values were comparable to other studies (40, 41). These differences in MOR BP_{ND} may be attributable to the oversampling in our study of carriers of the *OPRM1* G allele who have lower BP_{ND} (comprising ~50% of our sample versus 15–20% in the general population). It should also be mentioned that we did not assess plasma nicotine levels nor did we use a smoking topography device to measure puff volumes. Although there may be individual differences in puff volume, this is mitigated by using a standardized puffing procedure (42) and demonstrating significant differences in exhaled CO and subjective reward between sessions. Further, prior studies demonstrated large differences (>0.5 mg) in plasma nicotine levels between the 0.6 mg and 0.05 mg Quest cigarettes, which persist up to 5 h after smoking (43). Lastly, the number of female smokers was insufficient to test for sex heterogeneity in genotype effects or

MOR BP_{ND} observed in prior studies (14, 37). However, sex was considered as a covariate in all models and did not predict MOR BP_{ND}. As is the case with any PET receptor binding potential study, it is not possible to disentangle differences in receptor numbers from receptor binding affinity or endogenous opioid tone, a question best answered in preclinical models.

This PET imaging study demonstrates that preclinical evidence for genetic differences in MOR protein translates to in vivo observations of decreased MOR binding availability in human smokers. This is a critical first step toward elucidating the mechanistic basis of previously observed genetic associations with smoking behavior and other drug abuse phenotypes, which have high comorbidity with smoking. This work lays the foundation for future studies to evaluate whether MOR BP_{ND} predicts smoking relapse in treatment seekers. PET imaging of MORs at pretreatment could potentially identify relapse-prone smokers, thereby optimizing treatment selection and improving outcomes for this major medical and public health problem.

Materials and Methods

Participants. The study was approved by the University of Pennsylvania Institutional Review Board, the Food and Drug Administration, and the Environmental Health and Radiation Safety Committee. All participants provided written informed consent. Smokers ($n = 22$) and nonsmokers ($n = 20$) were recruited through mass media. Smokers were required to report consumption of ≥ 10 cigarettes per day for at least the past 6 mo and provide a baseline breath carbon monoxide (CO) reading > 10 ppm. Nonsmokers were required to report smoking < 100 cigarettes/lifetime, no cigarettes in the past year, and provide a CO reading of < 5 ppm (CO readings allowed for effects of environmental CO). Individuals with a history of or current neurological or DSM-IV Axis I psychiatric or substance disorders (except nicotine dependence), and those taking psychotropic medications (e.g., antidepressants, antipsychotics, anxiolytics, and mood stabilizers) or opioid analgesics were excluded. Due to the influence of female sex hormones on MOR levels (37), postmenopausal women were excluded from the study. Only individuals of European ancestry were included due to ethnic differences in allele frequencies (10).

Procedures. Following preliminary eligibility assessment, participants were assessed for *OPRM1* A118G (rs1799971) genotype using Taqman SNP genotyping assays (Applied Biosystems). Of 51 smokers who were eligible at initial screening, 26 smokers were eligible after *OPRM1* genotype, and 22 smokers completed both their PET scans (12 A/A, 10 */G); 3 smokers were ineligible at their PET scan 1, and 1 participant withdrew before their first PET scan. Of 59 nonsmokers who were eligible at the medical screen, only 21 were eligible on the basis of *OPRM1* genotype and all participants completed their PET scan. One nonsmoker was excluded due to lower than threshold (< 3 mCi) radio activity injected during their PET scan, leaving a final sample of 20 nonsmokers (10 A/A, 10 */G).

The final sample of 16 male and six female smokers included 12 A/A, 9 A/G, and 1 G/G *OPRM1* A118G genotype (A/G and G/G genotype groups combined, denoted as */G). These smokers reported a mean age of 31.3 y (SD = 10.7), smoking rate of 17.6 cigarettes/day (SD = 6.3), and Fagerstrom test for nicotine dependence score of 4.68 (SD = 2.01). The final sample of 13 male and seven female nonsmokers included 10 A/A and 10 */G genotypes, with a mean age of 30.9 y (SD = 12.3). There were no significant differences in demographics between A/A and */G groups, between smokers and nonsmokers, or between genotype groups in smoking history (smokers only) (all P values > 0.10).

Following 14 h of overnight abstinence (verified by expired CO < 10 ppm), smokers participated in two 60-min [¹¹C]carfentanil PET scans on two separate occasions. Fifteen minutes before each scan, participants smoked a Quest research cigarette (Vector Tobacco). The nicotine cigarette (0.6 mg nicotine) was smoked before scan 1 and the placebo cigarette (denicotinized; 0.05 mg nicotine) was smoked before scan 2. Participants and data analysts were blind to the cigarette type. To standardize exposure, the cigarettes were smoked through a controlled puffing procedure (participants were allowed to take a total of six puffs after lighting the cigarette, each puff was separated by 30 s) (42). The breath expired CO level change from pre- to postcigarette was 4.95 (SD = 1.73) after the nicotine cigarette and 3.27 (SD = 1.42) after the denicotinized cigarette ($T = -4.71$, $P = 1 \times 10^{-4}$). After smoking, participants completed a questionnaire to evaluate the smoking reward they experienced from the cigarette (44). Female participants were scanned during their early follicular phase (2–9 d after menses) to minimize the effects of rising levels of es-

trogen on the endogenous opioid system (45). The time interval between the two scans was 1–4 wk (as female participants were scanned during their early follicular phase).

Image Acquisition and Reconstruction. Scans were acquired on a GSO-based brain PET scanner, which operates without septa to increase the sensitivity of the instrument (46). Six sequential 10-min long scans were acquired starting immediately after slow bolus injection (over 10 min) of 3–15 mCi [¹¹C]carfentanil, so as not to exceed 0.03 µg/kg. The dose of [¹¹C]carfentanil administered was based on published PET studies (36, 47, 48). The range of specific activity for [¹¹C]carfentanil was 1,500–3,000 mCi/µmol. Upon completion of the emission scan, a transmission scan was acquired using a ¹³⁷Cs point source and used to perform a segmented attenuation correction. The PET data were reconstructed using an iterative 3D-RAMLA algorithm with scatter correction performed using a fully 3D single scatter simulation algorithm (49). The resulting six images consisted of 128 slices of 2-mm slice thickness (2 × 2 mm² pixels) and an image matrix size of 128 × 128 pixels.

Single Subject Image Preprocessing. The raw PET data were converted to Neuroimaging Informatics Technology Initiative format and preprocessed using SPM8 (Wellcome Trust Center for Neuroimaging, London, United Kingdom) implemented in Matlab 7.10. The mean image calculated from each subject's six images (0–60 min) was manually reoriented to set the origin to the anterior commissure (AC) with slice position aligned to the AC–posterior commissure (PC) line. The reorientation translation parameters were then applied to all six images. The mean image was spatially normalized to the T1 weighted template provided by the Montreal Neurological Institute by means of a least-squares approach and 12-parameter spatial transformation followed by estimating nonlinear deformations. Default parameters as defined in SPM8 were used except the bounding box, which was matched to the T1 template. A brain mask in each subject's native space was derived by applying the inverse of the deformation fields to the T1 template. To transform the T1 template in native subject space, deformation fields were computed from the individual normalization parameters using the "Deformations" toolbox in SPM8. Nonbrain areas were removed using this brain mask from all six images.

Regional Time Activity Curve (TAC) Computation. Left and right hemisphere masks were defined for the ACC, AMY, CAU, VST, and THA using the Harvard–Oxford probabilistic map (maximal probability threshold: 25%) distributed with FSL software (50). The ventral striatum ROI was composed of the nu-

cleus accumbens and the ventral part of putamen and caudate nucleus using methods described above. The spatially transformed ROIs were then applied to the subject's six images and regional TACs were extracted.

Quantification of MOR Binding (BP_{ND}). MOR binding was estimated using MRTM2 (23) with a fixed value for the tissue to plasma efflux rate constant in the reference region (k_2'). The distribution volume ratio (DVR) is calculated from the ratio of the regression coefficients (23) and the binding potential is given by $BP_{ND} = DVR - 1$. A k_2' value of 0.1237 min⁻¹ was assigned on the basis of a previous [¹¹C]carfentanil study (51). The MRTM2 BP_{ND} values calculated for each ROI were exported for further statistical analysis using Stata software.

Statistical Analysis. Because the outcome of interest (MOR BP_{ND} by ROI) is continuous, and observations sometimes included repeated measures (across sessions), we analyzed the data using a multiple linear regression and used the cluster-corrected robust covariance matrix to correct SEs (52). We tested the effect of the *OPRM1* genotype (A/A vs. */G) in separate models of MOR BP_{ND} for smokers, adjusted for age, sex, session, and cigarettes per day and for nonsmokers, not adjusted for session. Results reported are from models testing both hemispheres simultaneously using "seemingly unrelated estimation" used to test similarity across hemispheres (53). Additionally, in the smoker models, we used the Wald χ^2 to test for genotype × session interaction. Alpha was adjusted on the basis of 10 ROIs with an average correlation of 0.55, resulting in an adjusted *P* value of 0.018 (54). On the basis of correlated observations for BP_{ND} in the different ROIs, 22 smokers gave us 80% power to detect a session effect size of 0.664 (correlation adjusted to 0.39) for a one-sample test and a genotype (between) effect size of 1.26. This compares favorably to prior MOR binding studies with similar manipulations (24, 55). Secondary analysis examined the Spearman's correlation of MOR BP_{ND} (by ROI) with the continuous smoking reward measure. Significance was obtained using linear regression, with SEs corrected for cluster correlation (tested at *P* = 0.05).

ACKNOWLEDGMENTS. We thank the following individuals for their contributions to the study: Dr. Richard Freifelder, Dr. Joel Karp, Dr. Alexander Schmitz, and Rahul Poria for [¹¹C]carfentanil synthesis; Dr. Daniel Pryma and Dr. Rodolfo Perini for serving as PET center injectors; and Dr. Janet Reddin and PET center technologists for PET acquisition and preprocessing at the PET center. This research was supported by National Institute on Drug Abuse Grants R21-DA027066 (to C.L. and J.A.B.) and U01-DA020830 (to C.L.), National Cancer Institute Grant P50-CA143187 (to C.L. and J.A.B.), and a grant from the Pennsylvania Department of Health.

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